UNITED STATES COURT OF APPEALS FOR THE THIRD CIRCUIT

No. 16-4337

SUN LIFE ASSURANCE COMPANY OF CANADA

v.

WELLS FARGO BANK NA, AS SECURITIES INTERMEDIARY, **Appellant**

No. 16-4387

SUN LIFE ASSURANCE COMPANY OF CANADA, Appellant

v.

WELLS FARGO BANK NA, AS SECURITIES INTERMEDIARY

On Appeal from the United States District Court for the District of New Jersey (District Court No. 3:14-cv-05789) District Judge: Honorable Peter G. Sheridan

Submitted Pursuant to Third Circuit L.A.R. 34.1(a) August 19, 2019

Before: CHAGARES, RESTREPO, and FISHER, Circuit Judges.

JUDGMENT

This cause came to be considered on the record from the United States District

Court for the District of New Jersey and was submitted under Third Circuit L.A.R 34.1(a)

on August 19, 2019.

On consideration whereof, it is now hereby ORDERED and ADJUDGED by this

Court that the Order of the District Court entered on September 30, 2016, and the

Judgment of the District Court entered on November 17, 2016, are hereby AFFIRMED.

The parties shall bear their own costs. All of the above in accordance with the Opinion of

the Court.

ATTEST:

s/ Patricia S. Dodszuweit

Clerk

DATED: August 21, 2019

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